

SECTION 2

- 1. Candidate Checklist for Filing**
- 2. Certificate of Candidacy
(Nomination Petition)**
- 3. Appointment and Acceptance of Candidate
Treasurer**
- 4. Candidate's Eligibility Affidavit (for Mayor or
Council Seat)**
- 5. Financial Disclosure Statement**



City of Rockville

MEMORANDUM

April 30, 2007

TO: Candidates

FROM: Claire F. Funkhouser, City Clerk/Treasurer

SUBJECT: Candidate Checklist for Filing

The following documents must be completed and filed to qualify as a candidate for elective office in the City of Rockville.

- ☐ 1. Certificate of Candidacy (Nomination Petition) with minimum of 100 valid signatures of registered voters who live within the incorporated limits of the City
- ☐ 2. Appointment and Acceptance of Candidate Treasurer
- ☐ 3. Candidate's Eligibility Affidavit (check appropriate box for Mayor and Council seat)
- ☐ 4. Financial Disclosure Statement (unless already on file for 2006)

Rev. 4/07

City of Rockville – Nomination Petition (Certificate of Candidacy)
Ciudad de Rockville—Solicitud de nominación electoral (Certificado de Candidatura)

WE, THE UNDERSIGNED RESIDENTS OF THE CITY OF ROCKVILLE, hereby request that the name of _____ be placed on the ballot as a candidate for the office of **MAYOR** of the City of Rockville, Montgomery County, Maryland, in the general City election to be held on **November 6, 2007**.
Como firmantes y residentes de la Ciudad de Rockville, pedimos que el nombre de _____ sea puesto en la boleta como candidato para el puesto de ALCALDE de la Ciudad de Rockville, Condado de Montgomery, Maryland, en las elecciones generales que tomarán lugar en el 6 de noviembre de 2007.

NOTICE TO SIGNERS: By signing this petition, you agree that the above-named person should be placed on the ballot as a **MAYORAL** candidate in the **November 6, 2007** election. To the best of your knowledge you are registered to vote in the City of Rockville and are eligible to have your signature counted for petition purposes.

AVISO AL FIRMANTE: Con su firma indica que está de acuerdo con que la persona nombrada en la línea de arriba sea incluida como candidato para **ALCALDE** en las elecciones del 6 de noviembre de 2007. Según sepa, usted está inscrito para votar en la Ciudad de Rockville y puede usar su firma con el propósito de esta solicitud.

The information you provide on this petition may be used to change your voter registration address.
La información que provea en este formulario puede ser usada para actualizar su domicilio como elector.

	DATE <i>Fecha</i>	PRINTED NAME <i>Nombre en letra de molde</i>	VOTER REGISTRATION ADDRESS <i>Domicilio del elector</i>	ZIP CODE <i>Zip code</i>	DATE OF BIRTH* <i>Fecha de Nacimiento*</i>	SIGNATURE <i>Firma</i>
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

***Date of birth is optional and is only used to validate the identity of a petition signer. If preferred, only month and day are needed.**

*** La fecha de nacimiento es opcional y es usada solamente para verificar la identidad de quien firme la solicitud. Si prefiere, solo se necesita el mes y año.**

For City Clerk Use Only:
(Para uso interno solamente)

____ Total Number of Signatures
____ Number of Invalidated Signatures
____ Number of Valid Signatures

Verified By: _____

Circulator's Signature/Date
Firma y fecha del solicitante.

Address – Domicilio

Telephone – Teléfono

Form approved by the City of Rockville Board of
Supervisors of Elections: 4/11/07
*Este formulario está aprobado por la junta de
supervisores de elecciones de la Ciudad de Rockville.*

APPOINTMENT AND ACCEPTANCE OF CANDIDATE TREASURER

Name of Candidate _____
Address _____

Home Telephone _____
Business Telephone _____
Date of Birth _____

Office for which Candidate is running: ☐ Mayor ☐ Council

Year of Election in which Candidate is running: _____

Name of Appointee _____
Home Address _____

Home Telephone _____
Business Telephone _____

I hereby appoint to _____
serve as my treasurer as of _____, 20_____
[8-71 (a)(1)]

Signature of Candidate Date

I hereby accept appointment as treasurer as of _____, 20_____
[8-71(a)(1)]

Signature of Appointee Date

FOR TREASURER ONLY:

I hereby certify that I will serve as treasurer for _____
until all duties have been performed or a successor is duly chosen. I further certify that I
am a citizen of the United States, a registered voter with the Montgomery County Board of
Supervisors of Elections, and I am a resident of the City of Rockville/have a business
address in the City of Rockville (circle one) and that I am not a candidate for an elective
office in the City of Rockville for the November 2007 election or a member of the Board of
Supervisors of Elections.
[8-71(a)(1);8-71(a)(3);8-71(c)]

Signature of Treasurer Date

CANDIDATE'S ELIGIBILITY AFFIDAVIT

PLEASE CHECK ONE:

☐ **For the Office of Mayor**

☐ **For the Office of Council**

I hereby declare and affirm that I will have been a resident of the City of Rockville for at least one year immediately preceding the City election day; that I will be 18 years of age on or before November 6, 2007; and that I am registered to vote in the election of the City of Rockville on November 6, 2007.

Signature

I DO SOLEMNLY SWEAR AND AFFIRM under the penalties of perjury That the facts set forth herein are true.

Signature



City of Rockville

MEMORANDUM

April 30, 2007

TO: Candidates for Mayor and Council

FROM: Claire F. Funkhouser, City Clerk/Treasurer

SUBJECT: Statement of Financial Interests

Attached is the Financial Disclosure Statement that must be filed with the City Clerk no later than the time of filing the Certificate of Candidacy (Nomination Petition). The information requested is for the calendar year ending December 31, 2006.

If you are an incumbent officeholder and have filed a statement for 2006, it is not necessary to resubmit.

Attachment

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

Name: _____ Position: _____

This Statement of Financial Interests, together with all appropriate schedules attached, describes all financial interests and related transactions and other matters required to be disclosed under Chapter 16, "Public Ethics" of the Rockville City Code.

YES **NO**

A. I did, at some time during the period covered by this statement, hold interests (including leasehold interests and interests in any oil, gas or other mineral royalty or lease) in or with respect to any *real property* **(including your residence)** in the State of Maryland. Any such interests are described on Schedule A attached.

B. I did, at some time during the period covered by this statement, hold interests in a corporation, whether or not the corporation did business with the City of Rockville.

Any such interests are described on Schedule B attached.

C. I did, at some time during the period covered by this statement, hold interests in any other business entity which does business with the City of Rockville.

Any such interests are described on Schedule C attached.

D. I, or another person at my direction, did at some time during the period covered by this statement receive a gift in excess of \$25 in value, or a series of gifts totaling \$100 or more, from any one person, or on behalf of, directly or indirectly, from any person who does business with the City of Rockville or is regulated by the City of Rockville, exclusive of gifts received from parents, spouse or children, and exclusive of campaign contributions otherwise reported and meals and beverages.

Any such gifts are described on Schedule D attached.

YES

NO

- E. I did, at some time during the period covered by this statement, hold an office, directorship or salaried position in a corporation or other business entity doing business with the City of Rockville.

Any such offices, directorships or salaried positions are described on Schedule E attached.

- F. I did, at some time during the period covered by this statement, have liabilities, excluding retail credit accounts, consumer (\$1,500 or less) or automobile loans made by banks, savings and loan associations or credit unions, *owed to a person doing business with the City of Rockville*, or I did, at some time during the period covered by this statement, become involved in transactions giving rise to liabilities, excluding retail credit accounts, owed by my spouse or children, to a person doing business with the City of Rockville.

Any such liabilities are described on Schedule F attached.

- G. My spouse or dependent children were, at some time during the period covered by this statement, employed by the City of Rockville in some capacity.

Any persons so employed are described on Schedule G attached.

- H. I did have a major source or sources of income (in excess of \$1,000) for the one-year period covered by this statement.

Any such sources of income are described on Schedule H attached.

- I. I have other interests or information to disclose.

Any such interests or information are described on Schedule I attached hereto.

I HEREBY CERTIFY AND AFFIRM that the information contained in this statement and on the attached schedules is accurate and complete to the best of my knowledge and belief.

Date

Signature: _____
Address: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE A

INTERESTS IN REAL PROPERTY LOCATED IN THE STATE OF MARYLAND

Sheet ____ of ____

(Use separate sheet for each property reported)

Name:

1. Nature of property (zoning, current use, etc.):

Location of property (by street address, mailing address or legal description):

2. Nature of interest held (owner in fee, lessee, etc.): _____

List any conditions to interest or encumbrances thereon (mortgages, leases, etc.):

3. Date acquired:

Manner in which acquired (purchase, inheritance, etc.):

Person from whom interest was acquired:

4. Nature and amount of consideration paid for interest:

If interest acquired other than by purchase, fair market value at time interest was acquired:

5. Describe any interest transferred during the period of this statement:

Nature and amount of consideration received for transfer of interest:

Identity (name and address) of person to whom interest was transferred:

6. Identity (name and address) of any other person with an interest in this property:

Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE B

INTERESTS IN CORPORATIONS

Name: _____

NAME AND ADDRESS OF PRINCIPAL OFFICE OF CORPORATION	NATURE AND AMOUNT OF INTEREST** HELD INCLUDING CONDITIONS AND ENCUMBRANCES	TRANSFER OF INTEREST IN PROPERTY DURING PERIOD (DESCRIBE INTEREST TRANSFERRED, NATURE AND AMOUNT OF ANY CONSIDERATION RECEIVED AND, IF KNOWN, IDENTITY OF TRANSFeree)	IF INTEREST ATTRIBUTED TO YOU RATHER THAN HELD DIRECTLY BY YOU, EXPLAIN BASIS OF THE ATTRIBUTION
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** At the option of the person filing this statement, an amount of stock or like evidence of equity interest may be reported either by the number of shares held and, unless the corporation's stock is publicly traded on a stock exchange, in an over-the-counter market, or otherwise, by the percentage of equity interest so held instead of by dollar amount.

Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE C

**INTERESTS IN BUSINESS ENTITIES (OTHER THAN CORPORATIONS)
WHICH DO BUSINESS WITH THE CITY OF ROCKVILLE**

Name: _____

NAME AND ADDRESS OF PRINCIPAL OFFICE OF BUSINESS ENTITY	NATURE AND AMOUNT OF INTEREST HELD INCLUDING CONDITIONS AND ENCUMBRANCES	IF INTEREST TRANSFERRED IN BUSINESS ENTITY DURING REPORTING PERIOD, DESCRIBE INTEREST TRANSFERRED, NATURE AND AMOUNT OF ANY CONSIDERATION RECEIVED AND, IF KNOWN, IDENTITY OF TRANSFeree	IF INTEREST ATTRIBUTED TO YOU RATHER THAN HELD DIRECTLY BY YOU, EXPLAIN BASIS OF THE ATTRIBUTION
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Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE D

**GIFTS FROM PERSONS DOING BUSINESS WITH
OR REGULATED BY THE CITY OF ROCKVILLE**

Name: _____

NATURE AND VALUE OF GIFT	IDENTITY OF PERSON FROM WHOM GIFT WAS RECEIVED	IF GIVEN TO ANOTHER PERSON AT YOUR DIRECTION, IDENTITY OF THAT PERSON
--------------------------	---	--

Report each gift in excess of twenty-five dollars (\$25) in value, or a series of gifts totaling one hundred dollars (\$100) or more, from any one person during the calendar year for which a statement is to be filed.

Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE E

**OFFICES, DIRECTORSHIPS AND/OR SALARIED EMPLOYMENT IN
BUSINESS ENTITIES DOING BUSINESS WITH THE CITY OF ROCKVILLE**

Name: _____

NAME AND ADDRESS OF PRINCIPAL OFFICE OF BUSINESS ENTITY	TITLE AND NATURE OF OFFICE, DIRECTORSHIP OR SALARIED EMPLOYMENT HELD	TOTAL COMPENSATION RECEIVED DURING PERIOD COVERED BY THIS STATEMENT FROM BUSINESS ENTITY
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Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE F

LIABILITIES OWED TO PERSONS DOING BUSINESS WITH THE CITY OF ROCKVILLE

Name: _____

IDENTITY OF PERSON TO WHOM LIABILITY WAS OWED	AMOUNT OF LIABILITY OWED AS OF 12/31/06	TERMS OF PAYMENT OF LIABILITY	AMOUNT BY WHICH LIABILITY WAS INCREASED OR REDUCED DURING PERIOD	DESCRIPTION OF ANY SECURITY GIVEN FOR LIABILITY	IF LIABILITY WAS OWED BY SPOUSE OR CHILD IN A TRANSACTION IN WHICH YOU WERE INVOLVED, DESCRIBE THE TRANSACTION AND YOUR INVOLVEMENT
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Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE G

MEMBERS OF IMMEDIATE FAMILY EMPLOYED BY THE CITY OF ROCKVILLE

Name: _____

IDENTITY OF SPOUSE OR DEPENDENT
CHILDREN EMPLOYED BY THE CITY OF
ROCKVILLE

NATURE AND TITLE OF POSITION HELD

LENGTH OF EMPLOYMENT

Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

SCHEDULE H

MAJOR SOURCES OF INCOME**

Name: _____

SOURCE OF INCOME

NATURE OF INCOME (SALARY, COMMISSION, INTEREST, OTHER)

** A major source of income need only be reported if it exceeds One Thousand Dollars (\$1,000) for the period during which a statement is required.

Date: _____

Signature: _____

STATEMENT OF FINANCIAL INTERESTS

January 1, 2006 through December 31, 2006

**SCHEDULE I
OTHER INTERESTS**

Name: _____

Date: _____

Signature: _____